

PATENT  
ATTY. DOCKET NO.: UCSD1310-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albani and Prakken

Art Unit: 1645

Serial No.: 09:828,574

Examiner Navarro, A. M.

Filed: April 6, 2001

Title: STRESS PROTEINS AND PEPTIDES AND METHODS OF USE THEREOF

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**Mail Stop Sequence**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g);**  
**37 C.F.R. §§ 1.825(a) and (b)**

Sir:

I hereby state, as required by 37 C.F.R. §§ 1.821(f) and (g), that the information recorded in computer readable form is identical to the written sequence listing and does not include new matter.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.825(a), is supported in the application, as shown in the enclosed Amendment, and does not include new matter.

**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, June 6, 2003, in an envelope addressed to MS Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Mikhail Bayley

Name of Person Mailing Paper

June 6, 2003

Signature

Date

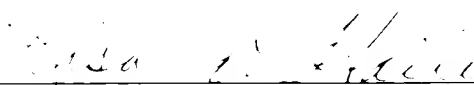
In re Application of:  
Albani and Prakkén  
Application No.: 09/828,574  
Filed: April 6, 2001  
Page 2

PATENT  
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I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. § 1.825 (b), is the same as the amended Sequence Listing.

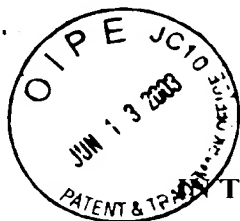
Respectfully submitted,

Date: June 6, 2003

  
\_\_\_\_\_  
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GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, CA 92121-2133

**Customer Number: 28213**



PATENT  
ATTY. DOCKET NO.: UCSD1310-1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albani and Prakken  
Serial No.: 09/828,574  
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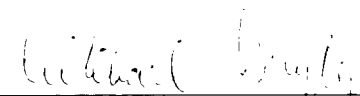
**VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)**

Sir:

I, Mikhail Bayley, declare that I personally prepared the paper and the computer-readable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: June 5, 2003

  
Mikhail Bayley

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, CA 92121-2133

**Customer Number: 28213**

**CERTIFICATION UNDER 37 CFR §1.8**

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**Mikhail Bayley**

Name of Person Mailing Paper

**June 6, 2003**

Signature

Date



Application No.: 09/828,574

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s)

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

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**Applicant Must Provide:**

- ☒ An ~~initial~~ or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An ~~initial~~ or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**